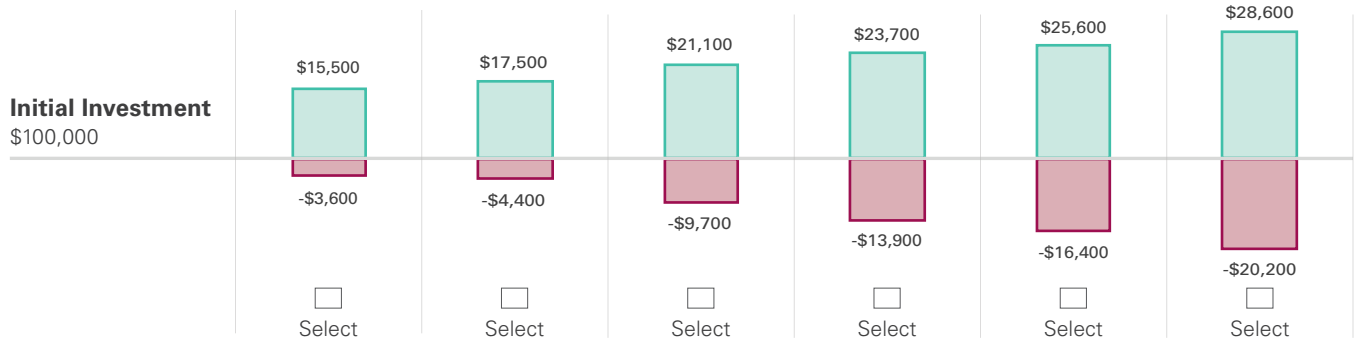


# Risk Profile Questionnaire

## 1. Investment Return Range

During any 12-month period, returns on portfolios with exposure to the stock market can vary dramatically. Given this variability and an initial investment of \$100,000, please select the typical range of potential gains and losses with which you are most comfortable.\*



\*The returns displayed above reflect the typical high and low ranges of the rolling 12-month averages from January 1, 1990 through December 31, 2019 for six hypothetical portfolios of global stocks and bonds. These returns do not reflect the performance of actual investment accounts and are presented for illustrative purposes only. Past performance is not a guarantee of future results.

## 2. Maximum Decline

Given your initial investment of \$100,000, how much could this investment decline, with no relief in sight, before you sold all or most of your investments in stocks?

- \$15,000   
  -\$25,000   
  -\$35,000   
  -\$45,000   
  -\$50,000   
  -\$55,000

## 3. Stock Market Forecast

Even if you have very little confidence in your forecast, pick your best guess at the most likely return for the stock market over the next 12 months.

- Less than -10%   
  Between -10% and 10%   
  Between 10% and 20%   
  More than 20%   
  No Expectations

## 4. Your Age Range

How old are you?

- Younger than 50   
  50-59 years old   
  60-69 years old   
  70-79 years old   
  80+ years old

## 5. Planned Withdrawal

If you expect to withdraw a significant portion (at least 1/3) from your account for any purpose (e.g. purchase a home, a boat, children's education) other than retirement spending, when is it likely to be?

- Immediately   
  Within 5 years   
  5-15 years from now   
  15+ years   
  Never

Risk Profile:

<p><b>AssetMark, Inc.</b>                  1655 Grant Street                  10th Floor                  Concord, CA 94520-2445                  800-664-5345</p>	<p><b>Important Information</b>                  AssetMark, Inc. is an investment management and consulting firm that helps independent financial advisors build great businesses. This is for informational purposes only and is not a solicitation for investment. Individual investors should consult with their financial advisor to determine if the services available through the AssetMark platform are appropriate.                  AssetMark, Inc. is an investment adviser registered with the U.S. Securities and Exchange Commission.                  ©2020 AssetMark, Inc. All rights reserved.                  101051   C20-16595   09/2020   EXP 09/30/2021</p>
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